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Application Serial No. 10/823,105  
Reply to final office action of December 8, 2008

JUN 08 2009 PATENT  
Docket: CU-3682

**Remarks and Arguments**

Reconsideration is respectfully requested.

Claims 8-13 are pending in the present application before this amendment. By the present amendment, claim 8 has been amended. No new matter has been added.

In the office action (page 2), claims 8-13 stand rejected under 35 U.S.C. §103(a) as being obvious Yoshikawa ("Frequency modulation response of a tunable birefringent mode nematic liquid crystal electrooptic device fabricated by doping nanoparticles of Pd covered with liquid-crystal molecules"; *Japan Journal of Applied Physics*; vol. 41) in view of U.S. Patent No. 4,701,024 (Kobayashi), U.S. Patent No. 4,909,605 (Asano), and U.S. Patent No. 4,836,654 (Fujimura).

The applicants respectfully disagree.

In the office action (page 5), the examiner concedes that Yoshikawa does not disclose that the electro-optical response is turned on by switching the frequency of the applied electric field from low frequency to high frequency.... The examiner points to Fujimura for the missing subject matter. However, the applicants respectfully submit that the present invention of claim 8 is not obvious in view of Yoshikawa and Fujimura.

That is, even assuming *arguendo* that Fujimura's alleged disclosure of "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" (office action, page 5) is applied to Yoshikawa's alleged disclosure of "liquid crystal-soluble particles dissolved or dispersed in a matrix liquid crystal" (office action, page 2), the invention of claim 8 would not be obvious.

The MPEP states that a prior art reference must be considered in its entirety, i.e., as a whole, including portions that would lead away from the claimed invention (MPEP §2141.02 VI). Furthermore, a proposed modification cannot change the principle of operation of a reference (MPEP §2143.01 VI).

Regarding Fujimura, it is essential for Fujimura to use a liquid crystal material having a crossover frequency at which its dielectric anisotropy becomes "0", and

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exhibiting a dielectric dispersion phenomenon wherein the polarity of the dielectric anisotropy is inverted from positive to negative in an electric field of a frequency lower than the crossover frequency and in an electric field of a frequency higher than the crossover frequency (claim 1). This liquid crystal material is a material wherein its mark of dielectric anisotropy (positive and negative) inverts by the frequency of applied electric field, i.e., the alignment direction of the liquid crystal formed by an electric field changes depending on the frequency of applied electric field. More specifically, the liquid crystals are aligned horizontally when a high frequency electric field voltage is applied and aligned vertically when a low frequency electric field voltage is applied.

Also in the liquid crystal device of Fujimura, it is essential to comprise a pair of polarizing plates provided in such a manner that the respective polarizing axes are set in perpendicular alignment and one of the polarizing axes is set to cross the alignment direction by a 45° angle (claim 1).

Further, in the liquid crystal device of Fujimura, when a low frequency electric field voltage is applied, the liquid crystals align vertically and the irradiated light does not transmit because the pair of polarizing axes is set to align at a right angle to each other so that an electro-optical response is turned off. On the other hand, when a high frequency electric field voltage is applied, the liquid crystals align horizontally. The light transmits so that the electro-optical response is turned on. In other words, in Fujimura, since a liquid crystal material whose dielectric anisotropy mark inverts by the frequency of the frequency of applied electric field (the alignment direction of the liquid crystal formed by an electric field is changed by the frequencies of the electric field) is used, the electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency.

In Fujimura, a crossover frequency where the dielectric anisotropy becomes zero is, for example, 10 kHz (23° C) (FIG. 3) and the low frequency becomes under 10 kHz and the high frequency becomes over 10 kHz in this case. Fujimura also lists 200 Hz, 1 kHz and 5 kHz as examples of the low frequency, and lists 100 kHz and 200 kHz as examples of the high frequency (Column 2, lines 39-45 and Column 17, lines 3-10 and 41-49 of Fujimura).

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In general, a frequency where a dielectric anisotropy becomes zero is between  $10^6$  to  $10^8$  Hz. However, liquid crystal materials whose dielectric anisotropy becomes zero when a frequency is about  $10^4$  Hz (10 kHz) is also known (see M. Schadt, Mol. Cryst. Liq. Cryst., 89 (1982), pp. 77-92 attached following the last page of this paper). Accordingly, a person skilled in the art would know that a frequency where a dielectric anisotropy becomes zero is generally between  $10^6$  to  $10^8$  Hz and be about  $10^4$  Hz (10 kHz) at lowest, so that one would normally select either a low or high frequency determined with the boundary frequency where the dielectric anisotropy becomes zero (that is,  $10^4$  to  $10^8$  Hz) in dual frequency driving.

In view of this, it is natural to think that a skilled person who becomes aware of Fujimura would select either of the low or high frequency with the 10 kHz as the borderline in realizing a liquid crystal device wherein "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency".

In contrast thereto, although Yoshikawa discloses that the nematic liquid crystal K-15 (Merk), which is a matrix liquid crystal, has a positive dielectric anisotropy ( $\Delta_\epsilon > 0$ ), it is silent regarding that a mark of dielectric anisotropy of the nematic liquid crystal K-15 would invert depending on the frequency of applied electric field. Further, even if the nematic liquid crystal K-15 is a kind where its mark of dielectric anisotropy inverts depending on the frequency of applied electric field, a frequency where its dielectric anisotropy becomes zero is not taught therein.

Further, Yoshikawa discloses that a liquid crystal device is "driven by modulating the frequency in applying voltage" and that the frequency can be selected from the range of 20 Hz to 120 Hz (L1317b 1<sup>st</sup> paragraph). Accordingly, the phrase "modulating the frequency in applying voltage" in Yoshikawa is understood to denote the change in frequency between 20 Hz to 120 Hz.

Thus, it is appropriate to think that a person skilled in the art would understand the frequency modulation driving taught in Yoshikawa where a frequency is selected from the range between 20 Hz to 120 Hz is completely different in its principle from a

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dual frequency driving recited in Fujimura where a low frequency and a high frequency is selected with a frequency of about 10 kHz ( $10^4$  Hz) set as the boundary.

Therefore, when considering the respective prior art references in their entirety, the applicants respectfully submit that a skilled person would have no motivation to combine Yoshikawa and Fujimura, which have totally different principles from each other.

Moreover, assuming, arguendo, that it is possible to combine Yoshikawa and Fujimura despite their completely different principles, rather than applying the liquid crystal device wherein "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" allegedly disclosed in Fujimura to Yoshikawa, it is more appropriate to apply the principle "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" to Fujimura itself. This is because, in Fujimura, the electro-optical response is turned on/off by switching a frequency while using liquid crystals whose mark of dielectric anisotropy is inverted (the alignment direction of the liquid crystal formed by an electric field changes) by the frequencies of the electric field. Therefore, it is inappropriate to simply choose only the technical structure where "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" and apply it to Yoshikawa while disregarding the principles of Fujimura in which the electro-optical response is turned on/off.

As explained, Yoshikawa does not teach that the liquid crystals used therein are the kind where its mark of dielectric anisotropy inverts depending on the frequency of applied electric field (the alignment direction of the liquid crystal formed by an electric field changes). Moreover, as disclosed in the specification of the present application, the kind of liquid crystals with which the dual frequency driving recited in Fujimura can be applied thereto is limited so that the dual frequency driving cannot be applied to all the

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liquid crystals. Accordingly, it is not obvious to apply the dual frequency driving recited in Fujimura to the liquid crystals recited in Yoshikawa.

In addition, the MPEP requires a reasonable expectation of success (MPEP 2143.02). Choosing the technical structure in which "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" and applying it to Yoshikawa, while disregarding the principle of turning on/off the electro-optical response as recited in Fujimura, would be inappropriate since the principle of turning on/off the electro-optical response becomes ambiguous when the frequency is switched within the range of 20 Hz to 120 Hz.

To start with, Fujimura is **completely silent** in disclosing the principle to turn on/off the electro-optical response when the frequency is switched between 20 Hz to 120 Hz.

Also, what Yoshikawa discloses is merely that the liquid crystal device is **"driven by modulating the frequency in applying voltage"**, and it is **totally silent about how the electro-optical response would change when the frequency is changed**.

Thus, even when the technical structure where "an electro optical-response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" allegedly disclose in Fujimura is chosen and applied to Yoshikawa, no one can anticipate the principle of turning on/off the electro-optical response when the frequency is switched between the range of 20 Hz to 120 Hz in Yoshikawa.

In light of this, the mere fact that Yoshikawa discloses the liquid crystal device "driven by modulating the frequency in applying voltage" and that Fujimura discloses the liquid crystal device where "an electro-optical response is turned on by switching the frequency of applied electric field from low frequency to high frequency, and the electro-optical response is turned off by switching the frequency from high frequency to low frequency" would not enable a skilled person to anticipate the frequency modulation

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driving of the present application by just combining the two technical structures.

In addition, as explained in our comments against the previous Office Action, the driving system based on the frequency modulation of the present application has totally different principle from that of the dual frequency driving of Fujimura. Thus, even if Yoshikawa and Fujimura are combined, a skilled person could not possibly find the present application obvious.

***Regarding the amendments to claim 8***, the applicants respectfully disagree with the examiner for at least the reasons set forth above; however, solely in the interest of speeding the passage of this application to allowance, the applicants have hereby made clarifying amendments to claim 1 that more specifically set out the scope of the claim and differentiate from the prior art on record.

In the present invention, frequency dependency is provided to the electro-optical response by the nanoparticles, which are the core of the liquid crystal-soluble particles (page 8, line 27 to page 10, line 2). In other words, in the present invention, the liquid crystal has frequency dependency wherein a threshold voltage changes depending on a frequency of applied electric field. On the other hand, in Fujimura, the liquid crystal has frequency dependency wherein its mark of dielectric anisotropy (positive and negative) inverts by the frequency of applied electric field (the alignment direction of the liquid crystal formed by an electric field changes depending on the frequency of applied electric field).

In view of this, claim 8 is amended to further clarify the difference in the frequency modulation driving of the present invention and the dual frequency driving of Fujimura.

Thereby, the amendment further defines that the frequency modulation driving of the present invention and the dual frequency driving of Fujimura have completely different principles. Accordingly, for this additional reason, the present invention is novel and nonobvious.

As to claims 9-13, the applicants respectfully submit that these claims are allowable at least since they depend from claim 1, which is now considered to be in condition for allowance for the reasons above.


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For the reasons set forth above, the applicants respectfully submits that claims 8-13 pending in this application are in condition for allowance over the cited references. Accordingly, the applicants respectfully requests reconsideration and withdrawal of the outstanding rejections and earnestly solicits an indication of allowable subject matter. This amendment is considered to be responsive to all points raised in the office action. Should the examiner have any remaining questions or concerns, the examiner is encouraged to contact the undersigned attorney by telephone to expeditiously resolve such concerns.

Respectfully submitted,

Dated: June 8, 2009

  
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**Appendix of Attachments**

M. Schadt, Mol. Cryst. Liq. Cryst., 89 (1982), pp. 77-92



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## Low-Frequency Dielectric Relaxations in Nematics and Dual-Frequency Addressing of Field Effects

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(Received April 6, 1982)

Novel low threshold nematic mixtures are presented exhibiting very low dielectric cross-over frequencies  $f_c \approx 1$  kHz at 20°C and unusually large, low- as well as high-frequency dielectric anisotropies  $\Delta\epsilon_1 > 4$  and  $\Delta\epsilon_2 < 4$  respectively.  $\Delta\epsilon_1$  and  $\Delta\epsilon_2$  are shown to be independently adjustable. The frequency and temperature dependence of the dielectric constants as well as the optical, elastic and viscous material constants are measured. Approximations are derived which qualitatively describe the influence of the LC material properties on the static electro-optical performance of dual-frequency addressed twisted nematic displays. It is shown that the number of multiplicable lines of dual-frequency addressed TN-LCDs comprising the new materials can be increased by more than a factor of 30 compared with conventional addressing. Moreover, very short turn-off times are reported.

### 1. INTRODUCTION

A number of studies have been reported on the dispersion of the parallel dielectric constant  $\epsilon_1$  in nematic liquid crystals.<sup>1-4</sup> Due to the liquid crystalline-specific intermolecular forces the dispersion region of  $\epsilon_1$  lies at exceptionally low frequencies compared with those of  $\epsilon_1$  or  $\epsilon_{\perp}$  in isotropic materials.<sup>2,4</sup> The dispersion of  $\epsilon_1$  occurs in most nematics above 100 kHz at room temperature. However, a few materials have been reported exhibiting cross-over frequencies  $f_c$  in the 3-20 kHz range.<sup>5-9</sup> Since the change of sign of the dielectric anisotropy at  $f_c$  causes the nematic director to change its field-induced direction of alignment, dielectric dispersion

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## DUAL-FREQUENCY ADDRESSING OF FIELD EFFECTS

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permanent dipole moments  $\mu$ :

$$(\epsilon_1 - \epsilon_\infty) = \frac{4\pi N \mu^2}{3kT} \frac{f^2}{f^2 + 2S}; \quad (2)$$

where  $N$  = Avogadro's number,  $h$  = cavity field factor,  $f$  = Onsager reaction field and  $S$  = nematic order parameter. Eq. (2) holds if  $\mu$  coincides with the nematic director  $\hat{n}$ . From Eq. (2) follows that the dispersion step  $(\epsilon_1 - \epsilon_\infty)$  depends, like  $\epsilon_1$ , essentially on  $\mu$ ,  $(\epsilon_1 - \epsilon_\infty)$  and  $\epsilon_1$  are therefore interdependent parameters.  $\epsilon_1$ , on the other hand, does not depend on the parallel dielectric properties of the liquid crystal and is therefore independent from  $\epsilon_1$  and  $(\epsilon_1 - \epsilon_\infty)$ . As a consequence it is in general not possible with a single liquid crystal component to achieve (a) a large dispersion step and (b) independently adjustable low- and high-frequency dielectric anisotropies  $\Delta\epsilon_\parallel = \Delta\epsilon(\omega \ll \omega_c)$  and  $\Delta\epsilon_\parallel = \Delta\epsilon(\omega \gg \omega_c)$  respectively. However, since  $\Delta\epsilon$  of a binary mixture is related with  $\Delta\epsilon^A$  and  $\Delta\epsilon^B$  of its components A and B by<sup>5</sup>

$$\Delta\epsilon = m_A \Delta\epsilon^A + m_B \Delta\epsilon^B, \quad m_A + m_B = 1, \quad (3)$$

we are going to show that the above conditions as well as the requirement of a low cross-over frequency  $f_c$  can be realized in mixtures comprising at least two suitable components.  $m_A$  and  $m_B$  in Eq. (3) are the molar amounts of the components.

To render component A suitable we assume it to exhibit a large positive static dielectric anisotropy  $\Delta\epsilon^A \gg 0$  and a low cross-over frequency  $f_c^A$  of  $\epsilon^A(\omega)$ . For component B we assume  $\Delta\epsilon^B < 0$  and  $f_c^B \gg f_c^A$ . Then, from  $\Delta\epsilon \gg 0$  and Eqs. (2) and (3) follows that the dispersion step  $(\epsilon_1 - \epsilon_\infty)$  of the mixture can be made large enough to cause its dielectric anisotropy  $\Delta\epsilon(\omega)$  to change sign at  $f_c$ . The nematic director of the mixture will therefore align homeotropically at frequencies  $f < f_c$  ( $\hat{n} \parallel E$ ), whereas for  $f > f_c$  reorientation into the homogeneous state occurs ( $\hat{n} \perp E$ ). Due to  $f_c^B \gg f_c^A$  the low-frequency dispersion of  $\epsilon^B(\omega)$  and the height of the dispersion step of the mixture are essentially determined by the dispersion of molecules A only. However, molecules B may affect the onset of the dispersion via viscosity effects, thus causing  $f_c$  of the mixture to deviate from  $f_c^A$ . Since  $\epsilon_1 = \text{constant}$  holds up to microwave frequencies one obtains from Eqs. (1) and (3) for the frequency dependence of the dielectric anisotropy of a binary mixture comprising the above specified components A and B

$$\Delta\epsilon(\omega) = m_A \left[ \Delta + \frac{(\epsilon_1 - \epsilon_\infty)}{1 + \omega^2 \tau^2} \right] + m_B \Delta\epsilon^B - m_A \Delta^A. \quad (4)$$

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phenomena can in principle be used to influence the electro-optical properties of field-effects at relatively low frequencies.<sup>6-9</sup>

The question arose whether it would be possible to find nematic materials with very large static as well as high-frequency dielectric anisotropies which could be adjusted independently from each other. Moreover, to render the substances applicable the cross-over frequencies of such materials should remain very low, i.e. below ~2 kHz at room temperature. Other points of interest are the effects of low frequency dielectric relaxation phenomena and related liquid crystal material properties on the electro-optical performance of the twisted nematic effect<sup>10</sup> (TN-LCDs). The present study should also quantitatively give insight into the possibilities and limitations of dual-frequency addressing of TN-LCDs and its influence on their multiplexing performance.

## 2. DIELECTRIC PROPERTIES OF LOW CROSS-OVER FREQUENCY NEMATICS

### 2A. Basic concept

The strongly hindered rotation of nematic molecules around their short axes leads to the dispersion of the parallel dielectric constant  $\epsilon_\parallel(\omega)$  with increasing angular frequency  $\omega$ . At the cross-over frequency  $f_c$  the positive dielectric anisotropy  $\Delta\epsilon$  can become zero; i.e.  $\epsilon_\parallel(\omega_c) = 2\pi f_c = \epsilon_1$ . Increasing  $\omega$  further causes  $\Delta\epsilon(\omega)$  to change sign. On the other hand the rotation around the long molecular axis is almost not hindered in nematics. As a consequence no dispersion of the perpendicular dielectric constant  $\epsilon_\perp$  occurs up to microwave frequencies.<sup>2</sup> Since our interest focuses on low-frequency relaxation phenomena ( $f < 100$  kHz), we shall in the following assume  $\epsilon_\perp = \text{constant}$ .

Besides on temperature the low-frequency dispersion  $\epsilon_\parallel(\omega)$  depends on molecular structural properties such as polarity, rigidity and length of the molecules and—in case of mixtures—on their composition. For a single relaxation process the frequency dependence of  $\epsilon_\parallel(\omega)$  is given by

$$\epsilon_\parallel(\omega) = \epsilon_\infty + \frac{(\epsilon_1 - \epsilon_\infty)}{1 + \omega^2 \tau^2}, \quad \tau \propto \exp(E/kT); \quad (1)$$

where  $\epsilon_1 = \epsilon_\parallel(\omega = 0)$  and  $\epsilon_\infty = \epsilon_\parallel(\omega = \infty)$  are the static and the high-frequency parallel dielectric constants respectively. The relaxation time  $\tau = 1/\omega_0$  in Eq. (1) is determined by the frequency where  $\epsilon_\parallel(\omega_0) = (\epsilon_1 - \epsilon_\infty)/2$ . According to the theory of Maier and Meier the dispersion step  $(\epsilon_1 - \epsilon_\infty)$  in Eq. (1) increases for molecules with large longitudinal

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gives  $\epsilon_1 = 15.5$  and  $\epsilon_2 = 7.2$  at  $10^\circ\text{C}$  below the monotropic temperature  $T_c$  of the pyridazine with  $R = \text{C}_6\text{H}_5$  and  $R' = \text{C}_6\text{H}_5$ , i.e.  $\Delta\epsilon(B) = -8.3$ . The melting and clearing temperatures ( $T_m$ ,  $T_c$ ) of compounds A and B are  $(118^\circ\text{C}$ ,  $264^\circ\text{C})$  and  $(66^\circ\text{C}$ ,  $14^\circ\text{C})$  respectively.

Figure 1 shows measurements of the temperature and frequency dependence  $\epsilon(\omega)$  and  $\epsilon_1(\omega)$  of mixture M1. The results show that M1 exhibits a very low cross-over frequency  $f_c = 1.4$  kHz at room temperature and large symmetric low- and high frequency dielectric anisotropies  $\Delta\epsilon_L$  and  $\Delta\epsilon_H$  respectively. The measurements confirm the assumption used in paragraph 2a that  $\epsilon_1$  is constant in the frequency range studied. From Figure 1 it follows that virtually no dispersion of  $\epsilon_1(\omega)$  occurs for frequencies  $f_L < 80$  Hz and temperatures as low as  $10^\circ\text{C}$ , i.e. M1 exhibits maximum positive dielectric anisotropy  $\Delta\epsilon_L$ . At the high frequency and temperature end  $f_H \leq 50$  kHz is sufficient up to  $\sim 37^\circ\text{C}$  to obtain maximum negative dielectric anisotropy  $\Delta\epsilon_H$  (Figure 1). Thus, M1 allows full dual-frequency addressing between  $10^\circ\text{C}$  and  $37^\circ\text{C}$  with driving frequencies  $f_L \leq 80$  Hz and  $f_H \leq 50$  kHz respectively. At room temperature  $f_a$  can be reduced to 10 kHz (Figure 1).

Figure 2 shows dielectric relaxation measurements made at constant temperature  $T = 22^\circ\text{C}$  using mixtures M1, M2 and M3. M2 and M3 exhibit—unlike M1—unsymmetrical anisotropies  $\Delta\epsilon_L$  and  $\Delta\epsilon_H$ . Their cross-over frequencies are similar to  $f_c(M1)$ .

To characterize the temperature dependence of the dielectric disper-

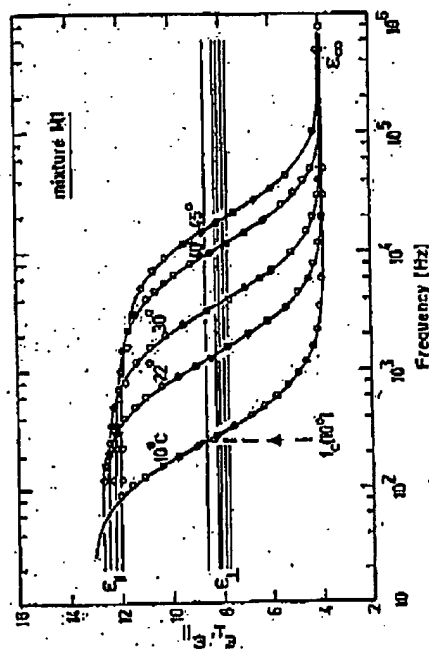


FIGURE 1. Measurements of the temperature and frequency dependence of  $\epsilon_1$  and  $\epsilon_2$  of mixture M1.

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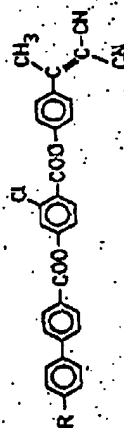
In the static limit Eqs. (3) and (4) are identical, i.e.  $\Delta\epsilon(\omega \rightarrow 0) = \Delta\epsilon_L$ . In the high frequency limit Eq. (4) becomes

$$\Delta\epsilon(\omega \gg \omega_c) = \Delta\epsilon_H = m_A(\epsilon_A - \epsilon_L) + m_B\epsilon_B^p \quad (5)$$

Equations (3), (4) and (5) describe the static, the frequency-dependent and the high-frequency dielectric anisotropies of the mixture. They allow for a given pair of components with given dielectric properties to adjust the parameters  $\Delta\epsilon_L$  and  $\Delta\epsilon_H$  independently by choosing  $m_A$  and  $m_B$  appropriately. One can show that analogous equations hold for multicomponent mixtures if their components fulfill the above defined requirements.

## 2B. LC-materials and properties

To obtain large mesomorphic ranges as well as low cross-over frequencies combined with rather low viscosities, three multicomponent mixtures M1, M2 and M3 were designed according to paragraph 2a using novel component A and B. To experimentally determine the influence of the dielectric anisotropies  $\Delta\epsilon_L$  and  $\Delta\epsilon_H$  on the electro-optical performance of TN-LCDs (c.f. paragraph 3) the ratios  $|\Delta\epsilon_L/\Delta\epsilon_H|$  of M1, M2 and M3 were chosen  $\sim 1$ ,  $\sim 0.5$  and  $\sim 2$  respectively. The following four-ring esters used as components A exhibit very low cross-over frequencies and large longitudinal permanent dipole moments:



As strongly negative dielectric anisotropic compounds, pyridazines with the following structure were used:



The static dielectric constants of component A measured at  $(T_c - 10^\circ\text{C})$  are  $\epsilon_1 = 10.0$  and  $\epsilon_2 = 26.3$  for  $R = \text{C}_6\text{H}_5$ , i.e.  $\Delta\epsilon(A) = 16.3$ . Extrapolation of dielectric measurements made in nematic binary mixtures

† The pyridazines were synthesized by Dr. G. Trötschel, whereas the four-ring esters were synthesized by Dr. A. Völger and Dr. M. Petrálek of our laboratories. The symbols and additional physical data of the new compounds will be published elsewhere.

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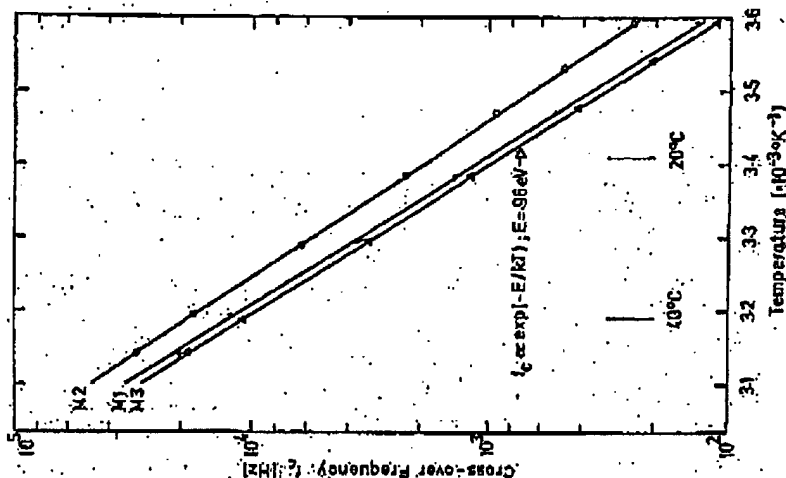


FIGURE 3 Measured temperature dependence of the cross-over frequency  $f_c$  of mixtures M1, M2 and M3.

### 3. DIELECTRIC RELAXATION AND STATIC ELECTRO-OPTICS OF FIELD-EFFECTS

#### 3A. Theory

In the following it will be shown how dual-frequency addressing and liquid crystal material parameters affect and improve the transmission characteristics of TN-LCDs.

If a high frequency voltage  $V(f \gg f_c) = V_H$  is superimposed on a low

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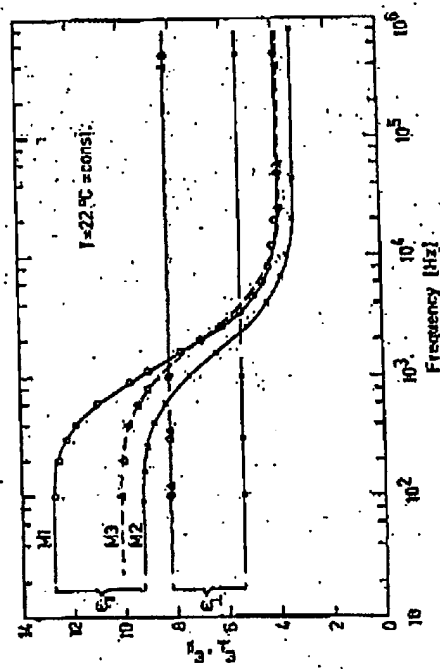


FIGURE 2 Frequency dependence  $\epsilon''(f)$  of mixtures M1, M2 and M3 measured at  $T = 22^\circ\text{C}$ . The measurements of  $\epsilon''$  show no frequency dependency.

sion, measurements of  $f_c(1/T)$  of the three mixtures are plotted in Figure 3. The results show an exponential dependence with a rather large activation energy  $E = 0.96$  eV for M3. The activation energies of M1 and M2 are comparable (Figure 3).  $f_c$  of all three mixtures does not exceed 20 kHz for temperatures  $T \leq 40^\circ\text{C}$  (Figure 3).

Table 1 summarizes the dielectric data of M1, M2 and M3 at  $22^\circ\text{C}$ . Besides, Table 1 shows measurements of the bulk viscosity  $\eta$ , the ordinary refractive index  $n_o$  measured at 550 nm, the optical anisotropy  $\Delta n$ , the splay ( $k_{11}$ ), twist ( $k_{22}$ ) and bend ( $k_{33}$ ) elastic constants as well as the nematic-isotropic transition temperature  $T_i$ . It is interesting to note that the mixtures exhibit rather low  $k_{33}/k_{11}$  ratios that lead to steep transmission characteristics in conventionally, low-frequency driven TN-LCDs (c.f. paragraph 3). Moreover, Table 1 shows that the bulk viscosities are rather low considering the very low values for  $f_c$  and the high transition temperatures  $T_i$ . Thus, reasonably fast turn-on times of TN-LCDs operated at low driving frequencies can be expected. Table 1 shows that the material constants of M1, M2 and M3 are similar except for  $\Delta n$  and  $\Delta \epsilon$ . Therefore, and because the mixtures can be blended among each other without leading to crystallization it is possible to adjust  $|\Delta \epsilon_H/\Delta \epsilon_L|$  between  $-0.5$  and  $-2$  by blending.

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frequency voltage  $VU \ll f_0 = V_L$  applied to a TN-LCD, the field-induced energy in the liquid crystal layer corresponding to a transition of  $X\%$  is given by

$$\Delta_{\text{EL}} V_{\text{EL}}^2(V_R) = (E_A)^2 \Delta_{\text{EL}} V_{\text{EL}}^2(V_R) = 0 \quad (5)$$

Since LC-materials with low threshold voltages are desirable,  $|\Delta\epsilon| > 0$  holds in practice. Therefore, and because the dielectric displacement  $D = \epsilon_0 E = \text{constant}$ , the electric field  $E$  cannot be assumed to remain constant in the liquid crystal layer for voltages exceeding the threshold voltage  $V_c$  of the field-induced mechanical deformation of the helix. Strictly, Eq. (5) is therefore only correct for voltages  $V \leq V_c$ . However, to a first approximation and to obtain analytical expressions we shall assume in the following Eq. (5) to hold also for voltages exceeding  $V_c$ . Then, from the definition of the parameter  $p = (V_{50} - V_{90})/V_{90}$  used to characterize the slope of the electro-optical transmission characteristics and from Eq. (5) follows:

$$V_{\text{H}}^2(V_{\text{H}}) \approx V_{\text{H}}^2(V_{\text{H}} = 0) + \frac{|\Delta_{\text{H}}|}{\Delta_{\text{L}}} V_{\text{H}}^2 \quad (6)$$

where  $V_{10}$  and  $V_{20}$  are the voltages required to obtain 10% and 50% transmission respectively;  $V_L = p(V_A = 0)$ . Equation (6) describes the shift of the transmission characteristics towards higher voltages which occurs when superimposing  $V_A$  on  $V_L$ .

To determine the influence of  $V_H$  and of the LC-material properties on the multiplexability of the cell, whose maximum number  $N_{\text{max}}$  of multiplexable lines can be described by<sup>16</sup>

$$N_{\max} = \left[ \frac{(1+p)^2 + 1}{(1+p)^2 - 1} \right]. \quad (7)$$

the dependence  $p_B = p(V_B, \Delta \varepsilon_1, \Delta \varepsilon_2)$  has to be determined. Since an equation analogous to Eq. (6) holds for  $V_{20}(V_{10})$ , one obtains from the definition of  $v$  and Eq. (6)

$$p_L + 1)^2 + \frac{|\Delta_{\text{del}}|^2 \left( \frac{V_H}{V_{\text{del}}} \right)^2}{1 + \frac{|\Delta_{\text{del}}|^2 \left( \frac{V_H}{V_{\text{del}}} \right)^2} {\Delta_{\text{el}} \left( \frac{V_H}{V_{\text{del}}} \right)^2}} \quad (8)$$

where  $V_{0L} = V_{0L}(V_H = 0)$ . The parameter  $\mu_B$  in Eq. (8) characterizes

TABLE I

Material properties of dual-frequency addressable mixtures A determined at $f = 80$ Hz. $\Delta n$ measured at $f = 5^\circ\text{C}$ . $\Delta n$ and $\Delta$ measured at $f = 10$ kHz (except for Aq $_{100}$ no).									
Mixture	$n$	$\Delta n$	$\Delta$	$f$ [Hz]	$f$ [kHz]	$\Delta n$	$\Delta$	$\Delta n$	$\Delta$
M1	1.275	4.42	-4.35	1.40	-4.10	1.06	0.54	78.3	72
M2	1.220	3.80	-2.12	2.30	-1.60	1.06	0.41	82.2	72
M3	1.025	2.15	-4.35	1.20	-3.80	1.00	0.50	74.5	72

Materials: properties of dual-frequency addressable mixtures  $\mu$  determined at 22°C. The melting temperatures of all mixtures are  $T_m = -50^\circ\text{C}$ .  $\Delta n$  and  $\Delta\epsilon$  measured at  $\lambda = 60\text{ Hz}$ ,  $\Delta n$  measured at  $\lambda = 10\text{ kHz}$  (except for AqK) ( $\mu = \infty$ )).

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The approximations in Eqs. (6)-(12) describe the influence of the electric, the optical and the elastic LC-material constants as well as the influence of  $V_B$  on the electro-optical transmission characteristics of dual-frequency addressed TN-LCDs at vertical light incidence.

## 3B. Dual-frequency addressed TN-LCDs; experimental

The electro-optical measurements were performed in transmission at vertical light incidence using low bias tilt TN-LCDs ( $\theta \approx 2^\circ$ ) with 10  $\mu\text{m}$  electrode spacing and  $\pi/4$  twist angle. The experiments were made at  $22^\circ\text{C}$ .

Figures 4 and 5 show transmission characteristics of TN-LCDs comprising mixtures M2 and M3 respectively. For each graph a different high-frequency voltage  $V_H$  characterized by the ratio  $V_H/V_{H0} = \text{constant}$  was used, where  $V_{H0} = V_{H0}(V_B = 0)$  designates the conventional low frequency driving voltage required to obtain 10% transmission. The values of  $V_{H0}$  follow from the first graph on the left of each figure for which  $V_B/V_{H0} = 0$ . The measurements in Figure 4 and Fig-

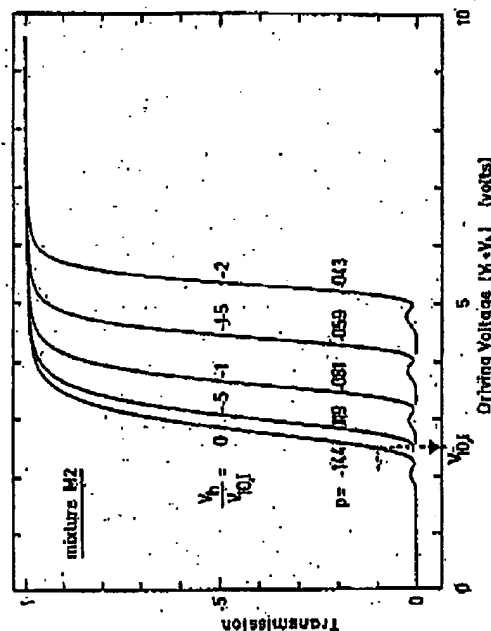


FIGURE 4. Transmission characteristics of TN-LCDs comprising mixture M2 recorded at  $22^\circ\text{C}$  at driving frequencies  $f_H = 80\text{ Hz}$  and  $f_B = 80\text{ kHz}$  respectively. The five graphs were recorded with  $V_B = \text{constant}$  superimposed on  $V_H$ .  $V_B$  for each graph follows from the voltage ratios  $V_B/V_{H0} = 0, 0.572, 1.144, 1.716, 2.290$  and from  $V_{H0} = 2.30$  volts. From the slopes of the transmission characteristics follow the indicated parameters  $p$ .

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the slope of the transmission characteristics if a high-frequency voltage  $V_H$  is superimposed on  $V_L$ . Equation (8) shows that  $p$  decreases for increasing dielectric ratio  $\Delta\epsilon/\Delta\epsilon_0$  and/or increasing voltage ratio  $V_H/V_{H0}$ . The multiplexing improvement of dual-frequency addressing compared with single-frequency driving can be characterized by the following ratio which follows from Eq. (7):

$$\frac{N_{\text{max}}^H}{N_{\text{max}}^L} \approx \frac{[(1 + p_H)^2 + 1][(1 + p_L)^2 - 1]^2}{[(1 + p_H)^2 - 1][(1 + p_L)^2 + 1]} \quad (9)$$

$N_{\text{max}}^L = N_{\text{max}}^L(V_B = 0)$  and  $N_{\text{max}}^H = N_{\text{max}}^H(V_H)$  denote the respective maximum numbers of multiplexable lines in case of single and dual-frequency addressing. From Eqs. (8) and (9) and the low-frequency electro-optical parameters  $p_L$  and  $V_{H0}$  follows the dependence  $N_{\text{max}}^H/N_{\text{max}}^L$  versus a superimposed high-frequency voltage  $V_H$ .

The validity of Eqs. (6)-(9), whose low-frequency transmission parameters  $p_L$ ,  $V_B$  and  $V_{H0}$  implicitly contain the LC-material constants determining the electro-optical characteristics of the specific field-effect considered, is not restricted to TN-LCDs. The approximations are also applicable to other field effects as long as Eq. (5) holds. In case of zero bias tilt, TN-LCDs the following recently derived analytical approximations<sup>14</sup> for  $p_L$  and  $V_{H0}$  which hold for vertical light incidence can be inserted:

$$V_{H0}(V_B = 0) \approx V_L \left[ 2.044 - \frac{1.044}{2 + \kappa} \right] \cdot \left[ 1 + 0.123(\gamma^d - 1) \right] \cdot \left[ 1 + 0.132 \ln \frac{\Delta n d}{2\lambda} \right] \quad (10)$$

$$p_L \approx 0.133 + 0.0266\kappa + 0.0443 \left( \ln \frac{\Delta n d}{2\lambda} \right)^2 \quad (11)$$

From the definition of  $p_L$  and the approximations in Eqs. (10) and (11) follows

$$V_{H0}(V_B = 0) \approx V_{H0}(V_B = 0) \cdot \left[ 0.88 - 0.024\kappa - 0.04 \left( \ln \frac{\Delta n d}{2\lambda} \right)^2 \right] \quad (12)$$

where  $\kappa = (k_{11}/k_{11} - 1)$ ,  $\gamma = \Delta\epsilon/\epsilon_0$ ,  $\Delta x = (n_i - n_o)$ ,  $d$  = electrode spacing and  $\lambda$  = wavelength of transmitted light. For a  $90^\circ$  twisted helix the threshold voltage  $V_c$  of the field-induced mechanical deformation is given by

$$V_c = \pi \left[ \frac{1}{\epsilon_0 \Delta\epsilon_L} \left( k_{11} + \frac{k_{33} - 2k_{22}}{4} \right) \right]^{1/2}$$

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The approximations in Eqs. (6)-(12) describe the influence of the dielectric, the optical and the elastic LC-material constants as well as the influence of  $V_H$  on the electro-optical transmission characteristics of dual-frequency addressed TN-LCDs at vertical light incidence.

## 38. Dual-frequency addressed TN-LCDs; experimental

The electro-optical measurements were performed in transmission at vertical light incidence using low bias tilt TN-LCDs ( $\theta \approx 2^\circ$ ) with 10  $\mu\text{m}$  electrode spacing and  $\pi/4$  twist angle. The experiments were made at  $27^\circ\text{C}$ .

Figures 4 and 5 show transmission characteristics of TN-LCDs comprising mixtures M2 and M3 respectively. For each graph a different high-frequency voltage  $V_H$  characterized by the ratio  $V_H/V_{H0} = \text{constant}$  was used; where  $V_{H0} = V_H(V_H = 0)$  designates the conventional low frequency driving voltage required to obtain 10% transmission. The values of  $V_{H0}$  follow from the first graph on the left of each figure for which  $V_H/V_{H0} = 0$ . The measurements in Figure 4 and Fig-

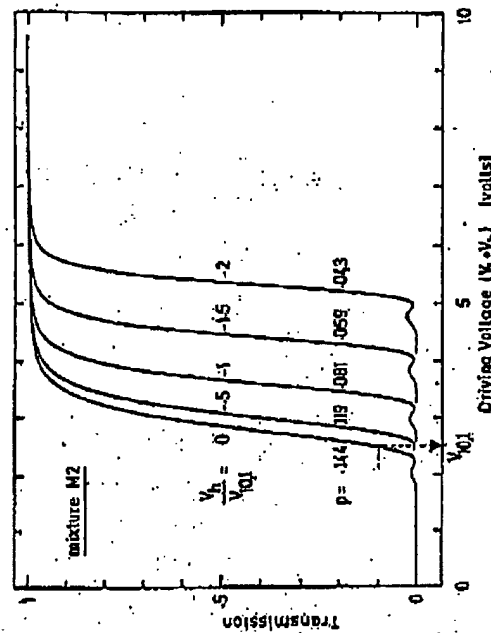


FIGURE 4 Transmission characteristics of TN-LCDs comprising mixture M2 recorded at  $27^\circ\text{C}$  at driving frequencies  $f_d = 80$  Hz and  $f_s = 10$  kHz respectively. The five graphs were recorded with  $V_H = \text{constant}$  superimposed on  $V_H$ .  $V_H$  for each graph follows from the voltage ratios  $V_H/V_{H0} = 0, 0.572, 1.144, 1.716, 2.290$  and from  $V_{H0} = 2.50$  volts. From the slopes of the transmission characteristics follow the indicated parameters  $p$ .

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the slope of the transmission characteristics if a high-frequency voltage  $V_H$  is superimposed on  $V_H$ . Equation (8) shows that  $p_H$  decreases for increasing dielectric ratio  $|\Delta\epsilon/\Delta\epsilon_0|$  and/or increasing voltage ratio  $V_H/V_{H0}$ . The multiplexing improvement of dual-frequency addressing compared with single-frequency driving can be characterized by the following ratio which follows from Eq. (7):

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$N_{\text{max}}^H = N_{\text{max}}^L$  ( $V_H = 0$ ) and  $N_{\text{max}}^H = N_{\text{max}}^L$  ( $V_H = 0$ ) denote the respective maximum numbers of multiplexable lines in case of single and dual-frequency addressing. From Eqs. (8) and (9) and the low-frequency electro-optical parameters  $p_L$  and  $V_{H0}$  follows the dependence  $N_{\text{max}}^H/N_{\text{max}}^L$  versus a superimposed high-frequency voltage  $V_H$ .

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$$V_{H0}(V_H = 0) \approx V_H \left[ 2.044 - \frac{1.044}{2 + \kappa} \right] \quad (10)$$

$$\left[ 1 + 0.123(\kappa^2 - 1) \right] \left[ 1 + 0.132 \ln \frac{\Delta n d}{2\lambda} \right] \quad (11)$$

$$p_L \approx 0.133 + 0.0266\kappa + 0.0443 \left( \ln \frac{\Delta n d}{2\lambda} \right)^2 \quad (12)$$

From the definition of  $p_L$  and the approximations in Eqs. (10) and (11) follows

$$V_{H0}(V_H = 0) \approx V_{H0}(V_H = 0) \left[ 0.88 - 0.024\kappa - 0.04 \left( \ln \frac{\Delta n d}{2\lambda} \right)^2 \right] \quad (13)$$

where  $\kappa = (k_{33}/k_{11} - 1)$ ,  $\gamma = \Delta\epsilon/\epsilon_0$ ,  $\Delta n = (n_e - n_o)$ ,  $d = \text{electrode spacing}$  and  $\lambda = \text{wavelength of transmitted light}$ . For a 90° twisted helix the threshold voltage  $V_c$  of the field-induced mechanical deformation is given by

$$V_c = \pi \left[ \frac{1}{60\Delta\epsilon L} \left( k_{11} + \frac{k_{33} - 2k_{22}}{4} \right) \right]^{1/2}$$

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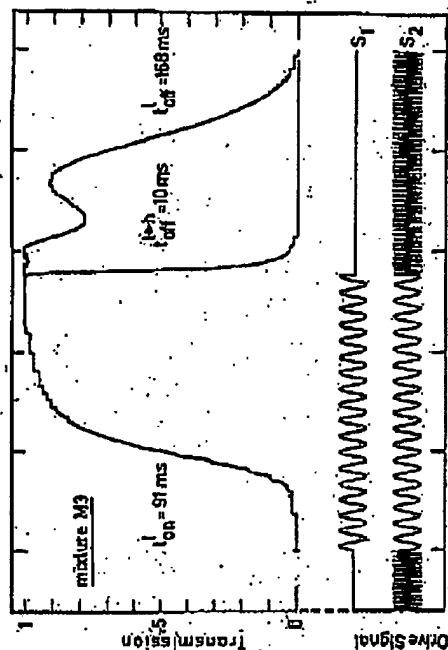


FIGURE 8 Electro-optical response measurements of a TN-LCD comprising mixture M3; electrode spacing  $d = 10 \mu\text{m}$ . The turn-on time  $t_{on}$  (0–50%) and the turn-off time  $t_{off}$  (100–10%) correspond to the conventional low frequency gated driving signal  $S_1$  applied at time  $t = 0$  to the display. The 80 Hz rms voltage of  $S_1$  is  $2 \cdot V_{th}$ ;  $V_{th} \approx 10.4$  volts. The turn-off time  $t_{off}$  (100–10%) correspond to the driving signal  $S_2$  whose rms voltage of 10.4 volts remains constant but whose driving frequency is switched from  $f_A = 80$  Hz to  $f_B = 10$  kHz and vice versa at the times indicated by  $S_2$  at the bottom of the Figure.

## 4. CONCLUSIONS

It could be shown that dual-frequency addressable nematic liquid crystals with exceptionally low cross-over frequencies,  $f_c \sim 1$  kHz, rather low viscosity and independently adjustable low- and high-frequency dielectric anisotropies  $\Delta\epsilon_L = (\epsilon_L - \epsilon_s)$  and  $\Delta\epsilon_H = (\epsilon_H - \epsilon_s)$  can be made by blending suitable strongly positive dielectric anisotropic nematics with suitable negative dielectric materials. The frequency- and composition dependence of the dielectric properties are shown. To study the influence of  $\Delta\epsilon_L$  and  $\Delta\epsilon_H$  on the electro-optical performance of displays, three nematic mixtures were investigated with ratios  $|\Delta\epsilon_H|/\Delta\epsilon_L$  ranging from 0.5–2. Their dielectric, elastic, optical and viscous material properties were quantitatively related with their electro-optical performances in twisted nematic displays.

Measurements of the electro-optical transmission characteristics of

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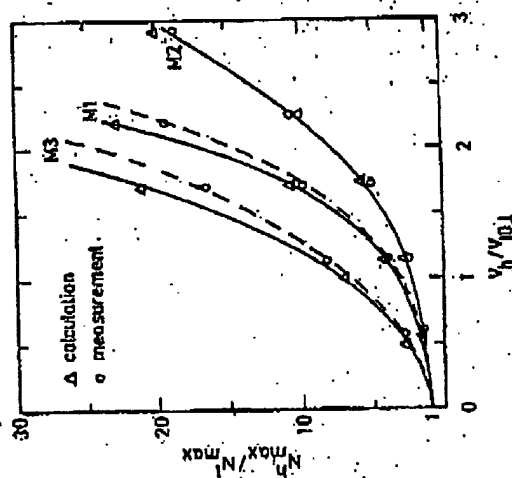


FIGURE 7 At 22°C measured and calculated multiplexing ratios  $N^H_{max}/N^H_{max}$  versus voltage ratio  $V_H/V_{H0}$  of TN-LCDs comprising mixtures M1, M2 and M3 respectively;  $f_c = 80$  Hz,  $f_H = 10$  kHz.

Figure 7 shows that approximation (9) verifies the improved multiplexing performance of dual-frequency addressing compared with conventional addressing rather well for LC-materials with  $|\Delta\epsilon_H|/\Delta\epsilon_L \lesssim 1$  and high-frequency voltages  $V_H \lesssim 2V_{th}$ . For materials with  $|\Delta\epsilon_H|/\Delta\epsilon_L \gtrsim 1$  and  $V_H \gtrsim 1.5$  increasingly strong deviations occur between measurement and calculation (cf. graphs for M3 in Figure 7).

Due to the change of sign of the dielectric anisotropy which occurs when changing the driving frequency of the display voltage from  $f_L \sim f_H$  very fast turn-off times can be obtained with dual-frequency addressable LC-materials. The measurements in Figure 8 show an example for the response improvement upon actively switching a TN-LCD. The active turn-off time  $t_{off}$  turns out to be 17-times faster than the passive turn-off time  $t_{off}$  (Figure 8). Since both signals  $S_1$  and  $S_2$  induce the same field-induced angular momentum when switching the display on at  $t = 0$  (Figure 8), the turn-on time  $t_{on}$  induced by the gated signal  $S_1$  is identical to that corresponding to the frequency change  $f_B - f_L$  of  $S_2$ .



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# Synthesis and Mesomorphic Properties of the Homologous Series of Di-(4'-n-alkylphenyl)-trans-cyclohexane-1,4-dicarboxylates<sup>†</sup>

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(Received December 18, 1981; in final form April 12, 1982)

The homologous series of di-(4'-n-alkylphenyl)-trans-cyclohexane-1,4-dicarboxylates in which the alkyl group is C<sub>1</sub>-C<sub>11</sub> has been prepared by esterification of trans-1,4-cyclohexanedicarboxylic acid or dicarbonyl chloride with 4-alkylphenols. Mesomorphic properties and phase transitions as determined by hot-stage polarizing microscopy and DSC are discussed in relationship to various structural features. Comparisons of these properties with those for the corresponding alkyl series and the analogous tetraphenyl acid diesters are also presented and discussed.

## INTRODUCTION

Our discovery of four smectic phases (smectics A, C, B and an unidentified biaxial phase) in several members of the homologous series of di-

† Presented in part at the Eighth International Liquid Crystal Conference, Kyoto, Japan, 1980, Abstract No. E16P.

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TN-LCDs comprising dielectrically strongly different nematics were compared with analytical approximations derived to describe the influence of dual-frequency addressing and LC-material properties on the multiplexability and operating voltages of TN-LCDs. It could be shown that rather low operating voltages, very fast turn-off times and—compared with conventionally driven displays—remarkably increased multiplexing ratios  $N_{\text{max}}/N_{\text{min}} > 30$  can be achieved. The results indicate that high information density displays with multiplexing ratios up to ~250:1 that can be operated in the temperature range -10°C to ~40°C are feasible.

## Acknowledgment

I am very much indebted to M. Feincke, A. Villiger and G. Trücker, who synthesized the four-ring esters and the pyridazines. I also gratefully acknowledge the skilful assistance of E. Böhlinger in the performance of the experiments and with to thank P. Gerber for helpful discussions.

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